

# The Radiation Response of the High Dielectric-Constant Hafnium Oxide/Silicon System

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**Abstract**—We have explored the radiation response of the HfO<sub>2</sub>/Si system with a combination of capacitance versus voltage and electron spin resonance measurements on capacitor and bare oxide structures subjected to <sup>60</sup>Co gamma irradiation and vacuum ultraviolet irradiation. Our studies have utilized both (100)Si and (111)Si substrate structures. Capacitors have been irradiated under both positive and negative gate bias as well as with the gate floating. We find the “electronic” radiation response of the HfO<sub>2</sub>/Si system to be different from that of the Si/SiO<sub>2</sub> system. However, we find that the HfO<sub>2</sub>/Si interface defects and their response to hydrogen are quite similar to those of the Si/SiO<sub>2</sub> interface defects. We also find that the HfO<sub>2</sub>/Si atomic scale defects and their response to irradiation different from that of the Si/SiO<sub>2</sub> system. We find the radiation response of the HfO<sub>2</sub>/Si capacitors to be dominated by a very large buildup of negative oxide charge. We observe comparably little, if any, generation of Si/dielectric interface trap density, though we do observe substantial densities of Si/dielectric interface trap defects. The concentration of these defects is not measurably altered by irradiation. The structure of the most prominently observed HfO<sub>2</sub>/Si interface defects is somewhat similar to those observed in Si/SiO<sub>2</sub> systems. We observe comparatively little, if any, generation of slow traps/border traps/switching traps near the Si/HfO<sub>2</sub> interface.

**Index Terms**—ALCVD, electron taps, ESR, gamma irradiation, hafnium oxide, high-*k*, VUV irradiation.

## I. INTRODUCTION

THE 2001 International Technology Roadmap for Semiconductors [1] indicates that silicon dioxide’s long reign as the gate dielectric of advanced integrated circuitry may come to an end within a few years. This is so because advanced MOS technologies are utilizing gate oxides so thin that any further decrease in oxide thickness results in a large increase in power consumption due to exponentially increasing gate leakage current. By 2005, it is projected that SiO<sub>2</sub> will not be able to meet the leakage requirements for low-power portable applications [1]. If a further increase in gate capacitance is to be achieved without increased leakage, it will require new gate insulator materials with higher dielectric constants than that of silicon dioxide. Several high-*k* candidates are being considered to replace SiO<sub>2</sub>,

most notably, Al<sub>2</sub>O<sub>3</sub>, ZrO<sub>2</sub>, and HfO<sub>2</sub> [2]. The leading candidate is hafnium oxide [3]. Al<sub>2</sub>O<sub>3</sub> has a bulk dielectric constant of 9; ZrO<sub>2</sub> and HfO<sub>2</sub> both have bulk dielectric values of 25 [2]. HfO<sub>2</sub> and ZrO<sub>2</sub> are very similar in chemistry. However, HfO<sub>2</sub> is more stable against silicide formation than is ZrO<sub>2</sub>; thus, HfO<sub>2</sub> is the more promising candidate [3], [4].

Arguably, the most promising high-*k* deposition technology is atomic layer chemical vapor deposition (ALCVD) [5], [6]. ALCVD films are formed by repeating a sequence of alternating surface-reactant interactions, which are saturating and “self-limiting.” This technique gives rise to highly conformal films with monolayer control of film thickness, uniformity, and material properties [5], [6].

To date, very little is known about the radiation hardness of these new high-*k* systems and essentially nothing is known about atomic scale mechanisms. The high-*k* systems are likely to be the heart of MOS integrated circuits, particularly low-power systems, in the fairly near future [1], [2], [7]. Therefore, the high-*k* systems could become particularly important in radiation hard systems utilized in space applications. With regard to the high-*k* systems, the radiation effects community may be entering a situation somewhat analogous to that of 30 years ago, early in silicon dioxide technology. An enormous amount of effort was required to develop conventional silicon dioxide-based radiation hard technologies [8]–[11]. A similar effort may be required to adapt the new high-*k* systems for radiation hard applications. Such an effort would undoubtedly be aided by a fundamental atomic scale understanding of the radiation damage process in these new systems.

We have initiated a study of radiation damage in ALCVD HfO<sub>2</sub>/Si with electron spin resonance (ESR) [12] and electrical measurements. The ESR measurements have been made on both (111) and (100) silicon substrate HfO<sub>2</sub>/Si structures. All capacitance versus voltage (CV) electrical measurements were carried out on capacitors with (100) Si substrates.

We chose to make the (111)/(100) comparison ESR measurements because the geometric simplicity of the (111) silicon surface makes it easier to obtain and analyze the magnetic resonance results. This approach was utilized previously in ESR studies of the Si/SiO<sub>2</sub> system; results initially obtained in the (111) system were later extended to the (100) system [12]–[18].

## II. EXPERIMENTAL DETAILS

HfO<sub>2</sub> films were deposited with either a Microchemistry F-120 reactor or a custom-built ALCVD chamber. HfO<sub>2</sub> films

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were deposited on high resistivity substrates ( $\sim 100$  ohm-cm) for ESR measurements, and on  $\sim 4$  to  $10$  ohm-cm n- and  $\sim 4$  to  $30$  ohm-cm p-substrates for electrical measurements.  $\text{HfO}_2$  films were deposited via up to 100 cycles of ALCVD using alternating surface-saturating reactions of  $\text{Hf}(\text{NO}_3)_4$  precursor and  $\text{H}_2\text{O}$  vapor. Film quality was assessed using spectroscopic ellipsometry, X-ray diffraction, and x-ray photoelectron spectroscopy [19], [20]. Spectroscopic ellipsometry indicated that the films deposited on the (111) Si substrates were 15-nm-thick, films deposited on the (100) Si substrates for ESR were 13 nm thick, and films deposited on (100) Si substrates for electrical measurements were 10 nm thick. More details of the  $\text{HfO}_2$  deposition can be found in recent publications. [19], [20]. Several photo-lithographically defined titanium nitride (TiN) gate capacitors were used in the CV measurements. (TiN has a work function of 4.7 eV). The 10-nm oxides utilized for these capacitors were deposited with a custom-built tool and received a 30-s  $\sim 450^\circ\text{C}$  in situ  $\text{N}_2$  anneal. The TiN deposition was followed by a 30-minute  $450^\circ\text{C}$  forming gas anneal. ESR measurements were made on a Bruker Instruments X-band spectrometer with a  $TE_{104}$  double microwave cavity. Defect concentrations were evaluated through comparison with calibrated weak pitch standard sample, and they are accurate to about  $\pm 10\%$  in relative number and are accurate to better than a factor of two in absolute number. 1-MHz CV measurements were made using an HP 4284A LCR meter.

The capacitor samples were subjected to 10-Mrad (Si)  $^{60}\text{Co}$  gamma irradiation, under various biasing conditions. The (100) Si substrate ESR samples were also subjected to 10-Mrad  $^{60}\text{Co}$  gamma irradiation; however, the (111) Si substrate ESR samples were subjected to an extremely high total dose  $\sim 100$  Mrad using vacuum ultraviolet irradiation ( $hc/\lambda \leq 10$  eV) from a 50-W deuterium lamp in a vacuum chamber.

### III. RESULTS

The electronic effects of gamma irradiation are illustrated by the results of Figs. 1–4. In each case, a minimum of 7 and a maximum of 12 capacitors were tested. Fig. 1 illustrates a comparison of pre-irradiation and post-irradiation CV curves, in which the irradiation was carried out with no bias, and with 1.5-V bias applied to the gate. The average CV shift in the unbiased irradiated samples is  $\Delta V_{\text{nobias}} = 0.4$  V, and the average CV shift for the positively biased samples is  $\Delta V_p = 0.5$  V. In all cases, all measurements were within 0.1 V of the average value, with the greater scatter appearing in the data on samples irradiated under positive bias. Note that there is no discernible increase in the stretch-out of the post-irradiation curves. However, in both the positive and no bias cases, a very large concentration of negative charge has been generated in the oxide. Assuming a uniform distribution of space charge with the oxide and taking the  $\text{HfO}_2$  film dielectric constant to be 12, the results of Fig. 1 indicate the (net) presence of  $6.64 \times 10^{12}$  negative charges/ $\text{cm}^2$  in the positively biased samples.

In Fig. 2, we compare the pre-irradiation and post-irradiation CV curves in which the irradiation took place with a large ( $-4.5$  V) negative gate potential. Note that the average CV shift is somewhat smaller,  $\Delta V_n = 0.2$  V; again, all measurements

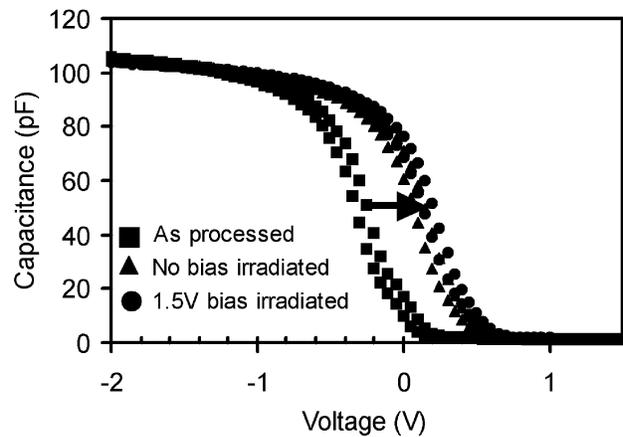


Fig. 1. Comparison of representative pre-irradiation and post-irradiation curves in which the irradiation was carried out with no bias, and with a 1.5 V potential applied to the gate. A very large, 0.4 V, positive shift in the unbiased post-irradiation curve is observed. The positive bias increases the CV shift to about 0.5 V.

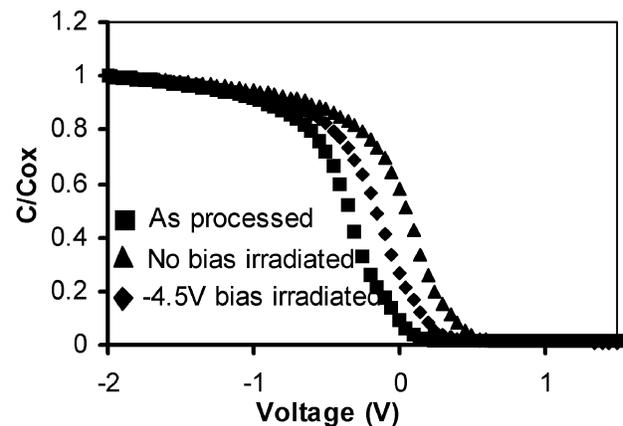


Fig. 2. Comparison of pre- and post-irradiation curves in which the irradiation was carried out with no bias and with a  $-4.5$  V potential applied to the gate. The CV shift is somewhat smaller, about 0.2 V, with the large negative field.

were within 0.1 V of the average value. Several possible explanations may be offered for the smaller amount of space charge present here. One possibility is that perhaps the electron trap(s) capture cross section(s) decrease at high field; this behavior is observed for the hole trap capture cross section in irradiated  $\text{SiO}_2$  films under bias [21]. Another possibility, the narrowing of the potential barrier, results in tunneling of the trapped electrons from the oxide into the silicon.

Fig. 3 illustrates hysteresis present in CV curves prior to irradiation as well as after irradiation under negative bias and no bias. The essentially identical hysteresis present under all the circumstances suggests comparatively little generation of new slow states/border traps/switching traps. We tentatively attribute the hysteresis to slow states, although it is possible that the hysteresis is due to the motion of ions. We tentatively, but not completely, rule out this possibility because it is clear that the negative space charge induced by irradiation does not appear to be caused by ionic motion. If ionic motion was involved in the radiation damage, we would anticipate the largest shift in the samples irradiated under the large negative bias. This was not the

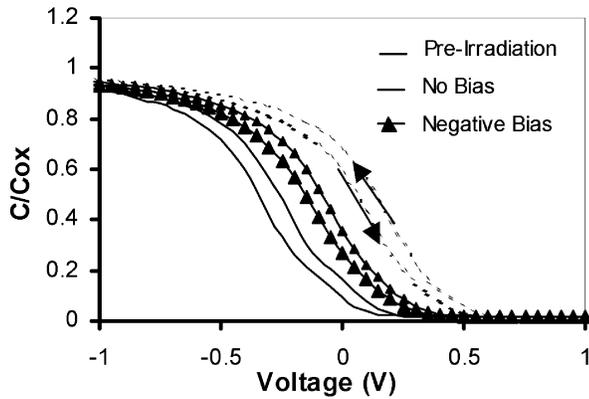


Fig. 3. Hysteresis present in CV curves prior to, after negative bias, and no bias remain essentially the same, suggesting comparatively little generation of new slow states/border traps/switching traps.

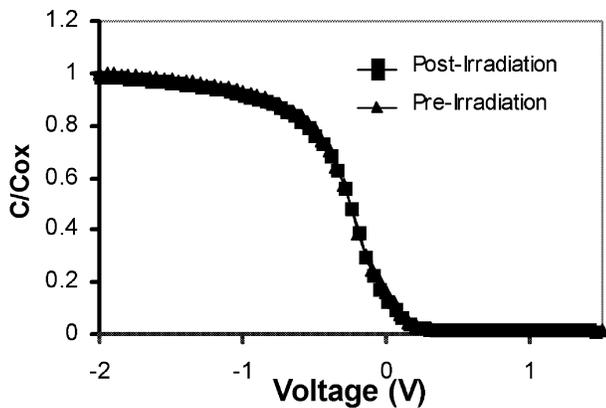


Fig. 4. Overlay of the post- and pre-irradiation CV curves. Note no observable increase in “stretch-out” of the CV curve. Results indicate relatively little interface trap generation.

case. Of course, it is possible that the hysteresis is completely unrelated to the radiation-induced space charge response. Thus, we are, as yet, unable to identify with certainty the underlying cause of the hysteresis.

Fig. 4 illustrates more clearly a point suggested by Figs. 1 and 2. The irradiation does not create any significant increase in the “stretch-out” of the CV curves. This indicates relatively little interface trap generation. Certainly, any radiation-induced interface trap generation corresponds to areal trap densities more than an order of magnitude lower than that of the trapped electron density.

Thus, the radiation response of these  $\text{HfO}_2/\text{Si}$  devices is quite different from that of high-quality  $\text{SiO}_2/\text{Si}$  devices. In  $\text{SiO}_2$ -based devices, large capture cross-section hole traps dominate oxide space charge buildup [8]–[11]. Typically,  $\text{Si}/\text{SiO}_2$  radiation damage involves very roughly comparable areal densities of trapped holes and interface trap generation. Our observation of very high negative oxide charge buildup and a virtual absence of  $\text{Si}/\text{dielectric}$  interface trap generation indicates, not surprisingly, that the very different  $\text{HfO}_2$  oxide chemistry results in a far different radiation response.

At the present time, essentially nothing is known with regard to the atomic scale defects involved in  $\text{HfO}_2/\text{Si}$  interface traps or

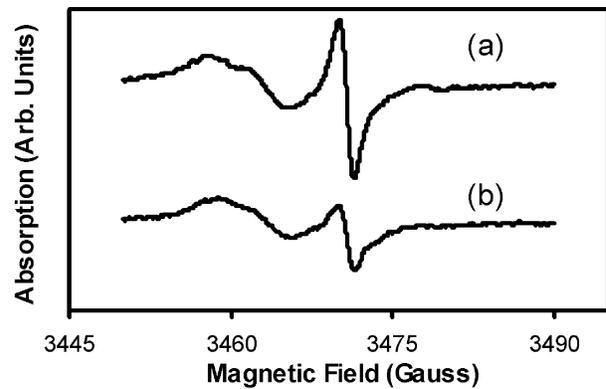


Fig. 5. ESR measurements made on the ALCVD films grown on (111) Si (a) as deposited and (b) after a 60 second  $400^\circ\text{C}$  forming gas anneal. The density of  $\text{HfO}_2/\text{Si}$  dangling bonds decrease 70% after the anneal, from about  $1.1 \times 10^{12}/\text{cm}^2$  to about  $4 \times 10^{11}/\text{cm}^2$ .

oxide traps. ESR is the only analytical technique currently available with both the analytical power and sensitivity to identify  $\text{Si}/\text{dielectric}$  system trapping center structure, chemistry, and (in conjunction with electrical measurements) electronic properties [12].

In order to begin to understand the atomic scale nature of the imperfections that will limit the performance of  $\text{HfO}_2/\text{Si}$ -based devices, we have made ESR measurements on both unirradiated and irradiated (111) Si substrate and (100) Si substrate  $\text{HfO}_2/\text{Si}$  samples. As mentioned previously, the geometric simplicity of the (111)Si/dielectric interface makes the (111)Si/ $\text{HfO}_2$  system a particularly attractive starting point for an investigation of trapping defects.

Earlier ESR investigations have identified the defects that dominate radiation damage in conventional  $\text{Si}/\text{SiO}_2$  devices. Of particular relevance to this work are many studies that identify a family of  $\text{Si}/\text{SiO}_2$  interface silicon dangling bond defects,  $P_b$  centers, as the dominant radiation-induced interface traps [15]–[18], [22]–[28].

In Fig. 5, we show ESR measurements made on the ALCVD films grown on (111) Si (a) as deposited and (b) after a 60-s  $400^\circ\text{C}$  forming gas anneal. The ESR measurements indicate the presence of several types of dangling bond defects in the  $\text{HfO}_2/(111)\text{Si}$  system [29]. The forming gas anneal greatly decreases the density of several of these ESR centers present, most notably, the strongest signal. The density of the defect responsible for this signal drops from about  $1.3 \times 10^{12}/\text{cm}^2$  to about  $4 \times 10^{11}/\text{cm}^2$  (70% decrease). Pre- and post-forming gas anneal CV curves shown in Fig. 6 also indicate a large decrease in the interface state density as a result of the forming gas anneal. These results strongly suggest that the dangling bond defects play a very large role in the as processed device interface trap densities. Note that the electrical measurements of Figs. 1–4 were made on annealed samples.

The strongest signal in Fig. 5 has a  $g$  tensor that may be deduced from the  $g$ -map of Fig. 7. The  $g$  is defined by

$$g = \frac{h\nu}{\beta H} \quad (1)$$

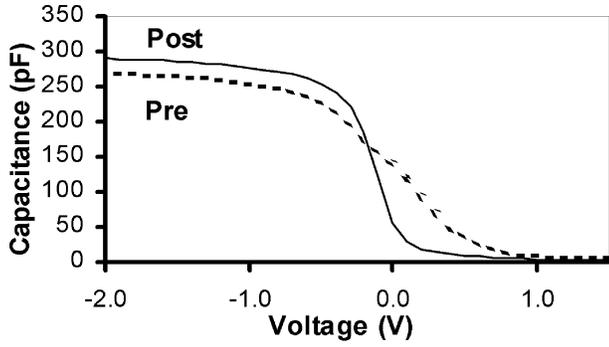


Fig. 6. Comparison of CV curves before and after a 60 second 400 °C forming gas anneal. The capacitors used in this measurement alone had shadow mask defined platinum gate.

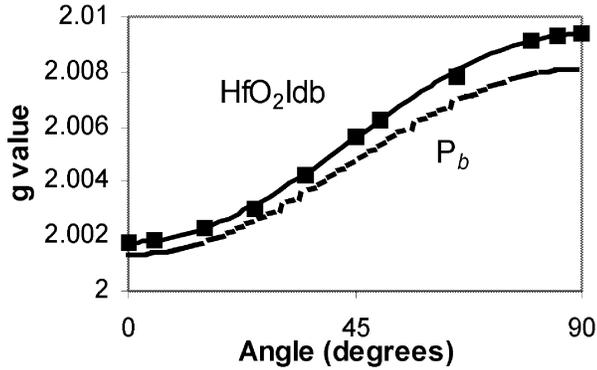


Fig. 7. ESR  $g$ -value anisotropy map for the  $\text{HfO}_2\text{Idb}$  defect on (111) silicon substrate at different values of angle rotation of the magnetic field. The solid line is obtained from (3) with  $g_{\parallel} = 2.0018$  and  $g_{\perp} = 2.0094$ . The dashed line is also obtained from (3) with  $g_{\parallel} = 2.0013$  and  $g_{\perp} = 2.0081$ , the  $g$  tensor for both the (111)  $\text{Si/SiO}_2 P_b$  silicon dangling bond defect and the (100) $P_{b0}$  silicon dangling bond defect.

where  $h$  is Planck's constant,  $\nu$  is the microwave frequency at which resonance is observed,  $\beta$  is the Bohr magneton, and  $H$  is the magnetic field at which resonance is observed. The  $g$  value is orientation dependent and may be described as a tensor of second rank. The  $g$ -map in Fig. 7 shows that the  $g$  tensor of the strongest signal in Fig. 5 has an axis of symmetry corresponding to the (111) surface normal and that  $g_{\parallel} = 2.0018$  and  $g_{\perp} = 2.0094$ , in both cases, with a possible error of no more than  $\pm 0.0002$  [29].

The  $g$  tensor values of this signal ( $g_{\parallel} = 2.0018$  and  $g_{\perp} = 2.0094$ ) are similar to those of the (111)  $\text{Si/SiO}_2 P_b$  interface dangling bond ( $g_{\parallel} = 2.0013$  and  $g_{\perp} = 2.0081$ ) [12], and the symmetry axis is the same. Therefore, the defect is certainly a  $\text{Si/HfO}_2$  interface silicon dangling bond. However, the differences between the  $g$  tensor of this hafnium oxide interface dangling bond center ( $\text{HfO}_2\text{Idb}$ ) and that of the  $\text{Si/SiO}_2$  interface dangling bond ( $P_b$ ) are much larger than experimental error, demonstrating that the  $\text{HfO}_2\text{Idb}$  defect has a somewhat different electron wave function and energy levels. The  $g$  tensor can be related to the electron wave function through a second-order perturbation theory calculation [13]

$$g_{ij} = g_0\delta_{ij} + 2\lambda \sum_k \frac{\langle \text{db} | L_i | k \rangle \langle k | L_j | \text{db} \rangle}{(E_k - E_{\text{db}})}. \quad (2)$$

Here,  $\lambda$  is the silicon spin orbit coupling constant,  $g_0 = 2.0023$  is the free electron  $g$ -value,  $L_i$  and  $L_j$  are angular momentum operators in respect to the  $i$  and  $j$  directions of the defect's principle axis system,  $\text{db}$  represents the dangling bond ground state electron wave function,  $E$  are the energy levels, and  $k$  corresponds to excited states.

Precise calculations with expressions of the form of (2) are not easy; however, inspection of the expression yields significant physical insight. Watkins and Corbett utilized expressions of this form of to study silicon dangling bonds in "bulk" silicon samples [30]. Following their approach, we note that (2) indicates that  $g_{\parallel} \cong g_0 \cong 2.002$  and that  $g_{\perp}$  tends to increase with increasing  $p$ -character. Our results clearly indicate that the  $\text{HfO}_2\text{Idb}$  is higher than that for the  $\text{Si/SiO}_2$  dangling bond. Therefore, the  $\text{HfO}_2\text{Idb}$  orbital is likely of higher  $p$ -character than that of the  $\text{Si/SiO}_2 P_b$  centers. This result suggests that the  $\text{HfO}_2\text{Idb}$  site is more planar in configuration than that of the  $\text{Si/SiO}_2$  case. (If the dangling bond is a pure  $p$ -orbital, the back bond orbitals will be purely  $sp^2$  and completely flat; if the dangling bond is  $sp^3$ , the back bond orbitals are also  $sp^3$ , and a tetrahedral arrangement results.) Other weaker spectra are observed in these samples. Some are very likely due to interface silicon dbs, but oriented about  $110^\circ$  from the surface normal, suggesting the presence of some interface terracing. (Additional signals due to, as yet unidentified, paramagnetic centers are also present.)

In the  $\text{Si/SiO}_2$  system, the (100)  $\text{Si/SiO}_2$  interface trap structure is more complicated [12]; yet a defect virtually identical to the (111)  $P_b$  dominates the radiation-induced interface traps [12], [17], the  $P_{b0}$  center [14], [18]. Like the (111)  $P_b$ , it has an axis of symmetry corresponding to any one of the four (111) axes present at the (100) Si surface. For the special case of the magnetic field parallel to the surface normal, the  $P_{b0}$  signals due to the four (111) dangling bond directions are superimposed on one another. It can be shown that the ESR  $g$  value of an axially symmetric defect is given by [12], [31]

$$g = (g_{\parallel}^2 \cos^2 \theta + g_{\perp}^2 \sin^2 \theta)^{1/2}. \quad (3)$$

If the  $\text{Si/SiO}_2$  analogy holds for the  $\text{HfO}_2/\text{Si}$   $P_b$ -like defect structures, (3) predicts that we would observe a single (100)  $\text{HfO}_2\text{Idb}$  signal with  $g = 2.0069$  for a magnetic field oriented along the (100) surface normal, if, as is the case for the (111) interface,  $g_{\parallel} = 2.0018$  and  $g_{\perp} = 2.0094$ . (At this orientation,  $\theta = 54.7^\circ$ .) The conventional (100)  $\text{Si/SiO}_2 P_{b0}$  signals would be  $g = 2.0059$  for this orientation.

We test this prediction by making such a measurement on the (100)  $\text{Si/HfO}_2$  samples. (The magnetic field is along the (100) surface normal in this measurement.) The result is illustrated in Fig. 8. A strong signal, the strongest present, appears at the predicted  $g$  value,  $g = 2.0068 \pm 0.0002$ .

Our results suggest that the  $\text{HfO}_2\text{Idb}$  centers would be major contributors to the  $\text{HfO}_2/\text{Si}$  interface traps, although our CV measurement indicates very little interface trap generation. In Fig. 8, we compare ESR measurements made (a) before and (b) after 10-Mrad  $^{60}\text{Co}$  irradiation of the (100)  $\text{HfO}_2/\text{Si}$  samples. As expected, the  $\text{HfO}_2\text{Idb}$  signal is unaffected by the ir-

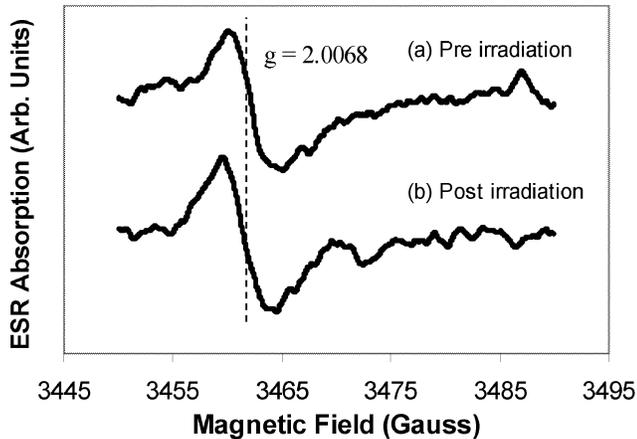


Fig. 8. Comparison of ESR traces of the (100)Si/HfO<sub>2</sub> interface (a) before and (b) after 10 Mrad <sup>60</sup>Co gamma irradiation. Results indicate very little change in the density of dangling bonds. The signal at  $g = 2.0068$  is consistent with expected values for an HfO<sub>2</sub>I<sub>db</sub> dangling bond at 54.7° (the angle between the  $\langle 100 \rangle$  and  $\langle 111 \rangle$  axis), derived from (3), with  $g_{\parallel} = 2.0018$  and  $g_{\perp} = 2.0094$ , the values obtained from the (111) measurements illustrated in Figs. 5 and 9.

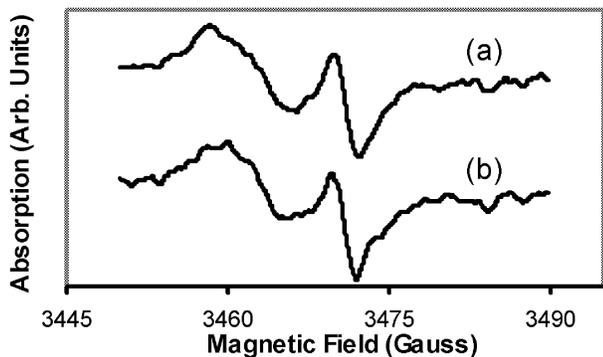


Fig. 9. ESR spectra of the (a) pre-irradiated and (b) post 60 min VUV irradiation ( $\sim 100$  Mrad) sample of the ALCVD HfO<sub>2</sub>/(111)Si system. As the spectra indicates, no measurable HfO<sub>2</sub>I<sub>db</sub> generation can be observed.

radiation; in both cases, we observe densities of approximately  $3 \times 10^{11}/\text{cm}^2$ . In Fig. 9, we compare the pre- and post-vacuum ultraviolet irradiation ESR response of the (111) HfO<sub>2</sub>/Si samples. The vacuum ultraviolet irradiation dose is quite high, of order 100 Mrad. Again, essentially no change in the HfO<sub>2</sub>I<sub>db</sub> signal occurs, a result consistent with our CV measurements.

These results suggest most strongly that the “intrinsic” HfO<sub>2</sub>/Si interface response is exceptionally radiation hard. As the CV measurements indicate, the HfO<sub>2</sub> oxides themselves, at least those used in this study, are not particularly hard but respond to radiation with an extremely large buildup of negative charge, presumably trapped electrons.

Although the HfO<sub>2</sub> trapping is likely to be fairly complex, we have made some very preliminary ESR measurements to investigate defect centers within the HfO<sub>2</sub>. Fig. 10 illustrates a wide scan ESR trace on the (100) Si/HfO<sub>2</sub> samples. The trace indicates the presence of a very broad signal at very high density,  $> 10^{13}/\text{cm}^2$ . The breadth of the signal suggests strongly that it is associated with the Hf electron wavefunction, although a highly abundant impurity atom could conceivably be involved

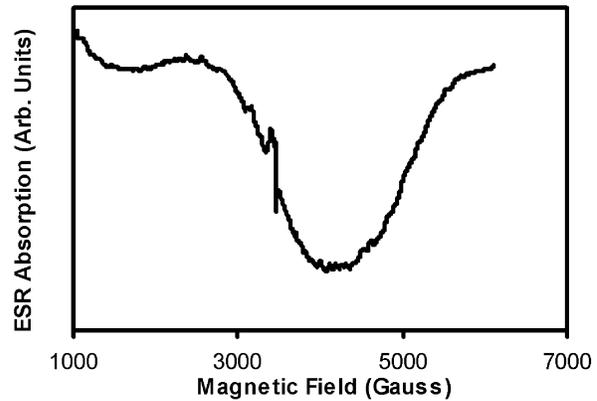


Fig. 10. A wide scan ESR trace on the (111) Si/HfO<sub>2</sub> samples. The trace indicates the presence of a very broad signal at very high ( $> 10^{13}/\text{cm}^2$ ) density. The breadth of the signal suggests strongly that it is associated with the Hf electron wavefunction, though a highly abundant impurity atom could conceivably be involved.

[31]. Further work will be needed to identify the role of this defect.

#### IV. CONCLUSION

Our results clearly show that, at least for the ALCVD samples involved in this study, the radiation response of the HfO<sub>2</sub>/Si system is quite different from that of high-quality Si/SiO<sub>2</sub> systems. In the Si/SiO<sub>2</sub> system, oxide hole trapping and Si/SiO<sub>2</sub> interface trapping dominate. In these HfO<sub>2</sub>/Si structures, a very strong buildup of negative charge is observed in the irradiated oxides.

The HfO<sub>2</sub>/Si interface appears to be quite hard. Within the precision of our measurements, about  $3 \times 10^{10}/\text{cm}^2$ , no Si/dielectric interface silicon dangling bonds are generated when the (100) samples are subjected to 10 Mrad of gamma irradiation. This result is consistent with our qualitative observation that we do not observe any additional stretch-out in our CV curves after the gamma irradiation. These results clearly suggest that the HfO<sub>2</sub>/Si system under study has an intrinsically hard interface. Why would this interface be so hard? We can offer some speculative arguments as to why this might be so. A physically based predictive model for radiation damage has been proposed by two of us (JFC and PML) based on statistical mechanics and the fundamental atomic scale defects of MOS radiation damage [32]–[36]. In this model, as in other models, the dominating interface trap centers and dominating oxide trap centers are both silicon dangling bond defects: Si/SiO<sub>2</sub> interface  $P_b$  centers and oxide  $E'$  centers. The creation of many oxide silicon dangling bonds,  $E'$  centers, in the immediate vicinity of hydrogen passivated Si/SiO<sub>2</sub> interface dangling bond centers leads to a thermodynamic instability. The Gibb's free energy of the system would be lowered by the transfer of some hydrogen passivating Si/SiO<sub>2</sub> silicon dangling bonds ( $P_b$  centers) to oxide silicon dangling bond centers ( $E'$  centers). In the hafnium oxides in this study,  $E'$  centers were not generated. Without the thermodynamic driving force of the radiation generated  $E'$  centers, there may be no “thermodynamic” justification for the Si/SiO<sub>2</sub> dangling bond generation.

A second possibility involves the motion of a hydrogenic species to the interface, a step envisioned by many, most notably, McLean [37], a step also involved in the model proposed by JFC and PML [32]–[36]. It may be that this step is somehow not possible in this dielectric. It is fairly clear, for example, that the increased radiation hardness of nitrided oxide device interface involves some sort of hydrogen/nitrogen defect interaction that in some way blocks the flow of hydrogen to the Si/SiO<sub>2</sub> boundary, reducing the generation of  $P_b$  centers [38]. As nitrogen is found in the hafnium nitrate precursor, it is also conceivable that a mechanism similar to that found in nitrided oxides suppresses interface trap formation in these samples.

It should be pointed out that thickness of the films used in this study, 10–15 nm, which are equivalent to conventional oxide thickness of 3.3–5.5 nm, are not quite what would be found in future MOS systems with high- $k$  gate oxides. High- $k$  replacements are being sought for technology generations with gate oxide thickness less than 1.5 nm. Thicker oxides were utilized in this experiment to better understand fundamental physical and chemical issues related to this particular material.

It should be emphasized that the results of this study may not be applicable to all HfO<sub>2</sub>/Si systems, possibly not even all ALCVD-deposited HfO<sub>2</sub>/Si systems. Variability of irradiation response from differences in processing methods has not yet been determined. Processing methods and parameters certainly play a role in the radiation response of SiO<sub>2</sub>/Si systems. Early studies of radiation damage in the SiO<sub>2</sub>/Si system were frequently somewhat variable [39], [40] in part because of differences in processing parameters (oxidation temperature, post oxidation anneal temperature, etc.) but also almost certainly because of extrinsic low-level impurity-related problems. Also, the deposition method can have a large impact on charge trapping (i.e., SIMOX [41], [42], PECVD oxides [43], etc.). It is conceivable that such problems may play a role in this study and that HfO<sub>2</sub> deposited by other methods may exhibit different charge trapping behavior. However, the fact that we can directly observe HfO<sub>2</sub>/Si silicon dangling bond density and that this density is unaffected by irradiation strongly suggests that at least this aspect of radiation response, the lack of interface trap generation, is intrinsic to the HfO<sub>2</sub>/Si system.

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